Application Form: Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP)

Investor must read the instructions section before completing this form. First time investors need to submit this form along with the main application form



Distributor Name & Broke Code / ARN / RIA Code	er Sub Broker ARN Code		Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time S Referenc	
EUIN Declaration: Declaration for "Execution that the EUIN box has been intentionally le notwithstanding the advice of in-appropriater the transactions data feed/portfolio holdings/	ft blank by me/us as this transaction (where E ft blank by me/us as this transes, if any, provided by the NAV etc. in respect of my/ou	mployee Unique ansaction is exe employee/relat r investments u	ecuted without any interaction ionship manager/sales person nder Direct Plan of all Schemes	or advice by the emplo of the distributor/sub bro managed by you, to the	vee/relationship manager/s. ker. RIA Declaration: "I/We above mentioned SEBI-Re	ales person of the above dis hereby give you my/our cor gistered Investment Adviser/	stributor/sub broker o sent to share/provide RIA".
Signature of 1st Applicant/ Authorised Signatory/Po			Signature of 2nd Applicant Authorised Signatory		Signa	ature of 3rd Applicant/Guar Authorised Signatory/PoA	dian/
1. EXISTING UNIT HOLDER INF		ils in our red			Il apply for this appli		
Folio No.:			CKYC Identificat				
Name of 1 st Unit Holder:							
AADHAAR No.:						Aadhaar Copy (Plea	se ✔) () Enclose
2. SYSTEMATIC TRANSFER PL	AN (STP) (For instruc	tions please	refer the next page)				
From Scheme		C	Regular Plan		 Div. Payout 	🔵 Div	frequency*
		C	Direct Plan	Growth	O Div. Reinvestmer	nt	
Го Scheme			Regular Plan Direct Plan	Growth (Default)	Div. PayoutDiv. Reinvestmer	ot (Default)	frequency*
Dividend frequency is applicable only	for Mirae Asset Cash Ma	anagement F	und & Mirae Asset Saving	s Fund. Default optio	n will be daily frequency	y if not selected any divid	lend frequency.
Please (✓) STP Frequency from t	the below options (Tr	ansactions w	vill be triggered after 5 da	ays from the date of	submission) Please u	ise multiple forms for m	ultiple dates.
Daily (Monday to Friday)	O Weekly (Every V	Nednesday)	◯ Fortnightl	y (Alternate Wednes	day) O M	Ionthly (Default)	Quarter
For Daily, Weekly, Fortnightly, Monthly	& Quarterly option minim	um 5 transfer	s of ₹ 1000 each.				
Please (✔) STP date:	◯ 1 st		\bigcirc 10 th (Default)	\bigcirc	15 th	◯ 21 st	○ 28 ^{tt}
Amount per transfer (₹ In Figures	,):			STP Start Date	from: D D M M	YYYY To: DD	ММҮҮҮ
3. SYSTEMATIC WITHDRAWAL	PLAN (SWP) (For inst	tructions ple	ease refer the next page)			
Scheme			Regular Plan	 Direct Plan 	SWP is a	Growth Ilowed only under Gro	wth option
Please (✓) SWP Frequency from t	he option (Transactior	s will be trigg	gered after 5 days from th	e date of submissio		-	•
O Monthly(Default)	⊖ Quarte		⊖ Semi Annually	🔿 Annu			
Start Date from: D D M M Y Y	YY To: DDM N	лүүүү	Fixed V	Vithdrawal Amount	Per installment ₹:		
Withdrawal Date: Please (✓)	$\bigcirc 1^{st}$ $\bigcirc 10^{th}$	(Default)	○ 15 th	◯ 21 st	○ 28 th		
4. DECLARATION AND SIGNAT							
	aving read and understood the contents c	of the SID/SAI/KIM of th through legitimate sou	e Scheme applied for (Including the scheme rces only and does not involve and is not des to full discharge of lightities of Mirge Assat N	(s) available during the New Fund C igned for the purpose of the contrav Autual Fund (D) The information of	ffer period); I/We hereby apply for units ention of any provisions of the Income T ren in / with this application form is true	of the said such scheme and agree to abid ax Act, Anti Money Laundering Laws or ar	e by the terms, conditions, rule y other applicable laws enacte
To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Hand regulations governing the scheme. (B) I/We hereby declare the write Government of India from time to time. (C) Signature of the	that the amount invested in the scheme is a nominee acknowledging receipts of my	lour credit will constitu		TA) from the to the March Internation gr			
The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) H and regulations governing the scheme (B) I/We hereby declare to withe Government of India from time to bine, (C) Signature of th fine Asset Global Investments (India) Private Limited (AMC) F overnment authorities as and when needed. I/We will indemnify frail commission or any other mode), payable to him for	that the amount invested in the scheme is e nominee acknowledging receipts of my "und and undertake to update the inform. the Fund, AMC, Trustee, RTA and other in the different competing Schemes of v	//our credit will constitu ation/details with the A ntermediaries in case c various Mutual Fund	MC / Fund/Registrars and Transfer Agent (f any dispute regarding the eligibility, validity from amongst which the Scheme is bei	and authorization of my/our transa ng recommended to me/us. (F) I	confirm that the AMC/Fund shall have t tions. (E) I/We further declare that "The We hereby confirm that I/We have n	the right to share my information and othe ARN holder has disclosed to me/us all ot been offered/ communicated any in	r details with the regulatory at the commissions (in the for dicative portfolio and/ or at
o The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) H and regulations governing the scheme. (B) I/We hereby declare the yite Government of India form time to time. (C) Signature of the I/mex Asset Global Investments (India) Private Limited (AMC) F yovernment authorities as and when needed. I/We will indemnify if trail commission or any other mode), payable to him for indicative yields by the Fund/AMC/tis distributor for this investe PIN agreement available on the AMC website for transacting and presented available on the AMC website for transacting the scheme of the Scheme Scheme (S) and Scheme S	that the amount invested in the scheme is e nominee acknowledging receipts of my Fund and undertake to update the inform "the Fund, AMC, Trustee, RTA and other in the different competing Schemes of stment. I/We have not received nor have online. (H) RLA: I/We hereby agree to cor ar declare that I/We anviare "Person Resis a this cathue. I / Wachell curific tha AM	//our credit will constitu ation/details with the A ntermediaries in case of various Mutual Fund: been induced by any re nsent the AMC to share dent in India" and are a C in which avant the	We full user large or national and water matching be set in MC / Fund/Registrars and Transfer Agent (F f any dispute regarding the eligibility, validity f from amongst which the Scheme is bei bate or gifts, directly or indirectly in making the my transaction details to the registered inve llowed to invest into the Scheme as per the MC regences the directly regence my l for	and authorization of my/our transa and authorization of my/our transa ng recommended to me/us. (F) his investment. (G) Applicable to Ir istment advisor (RIA) through the re aid FEMA regulations and other ap i investments in the Schem(e).	confirm that the AMC/Fund shall have I tions. (E) I/We further declare that "The We hereby confirm that I/We have n vestors availing the online facility: I/ gistrar or otherwise. (I) Applicable to F blicable laws and regulations. (J) I/We I/EATCA (CBC Continguismon)	the right to share my information and othe ARN holder has disclosed to me/us all of been offered/ communicated any in We have read, understood and shall be bo oreign Resident's Residing in India:- I/ confirm that I am/ We are not United St wo understood the information remuirance	details with the regulatory and the commissions (in the for dicative portfolio and/ or an und by the terms & conditions We confirm that I/We satisfy th ates person(s) under the law
o The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) H nd regulations governing the scheme. (B) (We hereby declare the vibe Government of India from time to time. (C) Signature of the Government of India Private Limited (ANC) F overnment authorities as and viber model), payable to him for factor and the fund (ANC) F trail commission or any other model. J We will indemnify frail commission or any other model, payable to him for indicative yields by the Fund/ANC(Fit distributor for this invester PN agreement available on the ANC website for transacting the FITAA RCF FEMA provisions. We furth (United States or resident(s) of Canada. In case of change the FITAA RCF instructions) and hereby confirm that the inforesumed that applicant is the ultimate beneficial owner, with no dictate vields or uninformed in writing about an or danpersimo.	That the amount invested in the scheme is e nomine acknowledging receipts of my Fund and undertake to update the inform the different competing Schemes of stement. I/We have not received nor have online. (H) RIA: I/We hereby agree to cor er declare that I/We amare "Person Resi to this status, I / We shall notify the AMM mailton provided by me / us on this Form declaration to submit. In such case, the diffication to the above information in future	//our credit will constitu ation/details with the A ntermediaries in case c various Mutual Fund been induced by any re sent the AMC to share dent in India" and are a C, in which event the is true, correct, and co concerned SEBI regist e & also undertake to c	It is the second	(1A) from time to time, invertient of the total and authorization of mylour transas and recommended to melus. (F) in this investment. (G) Applicable to Ir stement advisor (RIA) through the read and videor and other aprimvestments in the Scheme(s). (Tread and understood the FATCA& the application or reverse the allot ave be required at vour end. (L) Aadt	confirm that the AMC/Fund shall have to tions. (E) I/We further declare that "The We hereby confirm that I/We have n vestors availing the online facility: I/ gistrar or otherwise. (I) Applicable to F Oicable laws and regulations. (J) I We (S) FATCA /CRS Certification: I // We ha RCRS Terms and Conditions and hereby ment of units, if subsequently it is found are: I/We hereby voluntarity is ubmit Aaa	he right to share my information and othe ARN holder has disclosed to me/us all ot been offered/ communicated any in We have read, understood and shall be bo oreign Resident's Residing in India: // comfirm that 1 am / We are not United St we understood the information requireme accept the same. In case the above infor that applicant has concealed the facts of thera erd to the FundANC for undation the	Identicial information storging details with the regulatory a the commissions (in the for dicative portfolio and/ or a und by the terms & conditions we confirm that IWe satisfy t ates person(s) under the fay ates person
To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) H and regulations governing the scheme. (B) I/We hereby declare to y the Government of India from time to time. (C) Signature of th Airae Asset Global Investments (India) Private Limited (AMC) (F) overnment authorities as and when needed. I/We will indemnify of trail commission or any other model, payable to him for indicative yields the Fund/AMC/tist distributor for this inves the PIN agreement available on the AMC, vessite for transacting the PIN agreement available on the AMC, vessite for transacting to United States or resident(s) of Canada. In case of change 1 the FAICA & CRS Instructions) and hereby confirm that the info neuroment and anglicinant is the ultimate beneficial owner, with no indertake to keep you informed in writing about any changes/mo	That the amount invested in the scheme is a mumber of the scheme is the scheme is mumber of the scheme is the different competing Schemes of the different competing Schemes of mumber of the scheme is online. (If RR: We hardway agree to co er declare that IWe smart Person Resis othis status.) (We scheme is othis scheme.) We scheme is declaration to submit. In such case, the diffication to the above information in futur	//our credit will constitu ation/details with the A ntermediaries in case c various Mutual Fund; been induced by any re sent the AMC to share dentin India" and are a C, in which event the concerned SEBI regist re & also undertake to p	MC / Fund/Registrars and Transfer Agent (f fary dispute regarding the eligibility, validity is from amongsi which the Scheme is be beler ogith, directly or indirectly in making til my transaction details to the registered inve- liowed to invest in the Scheme as per the s AMC reserves the right to redeem my / ou mglete. I / We apse applet. I was continm that I / We have rovide any other additional information as m	(1A) noth time to unite. Whe hereby and authorization of my/our transa- ng recommended to me/us, (F) siment advice (RA) binough the r siment advice (RA) binough the r aid FEMA regulations and other ap read and understood the FATCA& the application or reverse the allol ay be required at your end. (L) Aadh	confirm that the AMC/Fund shall have' tions. (E) IVM einther declare that "The We hereby confirm that IVMe have n vestors availing the online facility. I/ gistrar or otherwise. (I) Applicable to EV gistrar or otherwise. (I) Applicable to EV (FATCA-ICRS Certification: I/ IVMeh CRS Terms and Conditions and hereby nend for units, if subsequently if is found aar: I/We hereby voluntarily submit Aac	he right to share my information and other ARN holder has disclosed to melus all of been offered/ communicated any in We have read, understood and shall be bo oreign Resident's Residing in India-U ordinm that any M'e are not United Si accept the same. In case the adove infor hat applicant has concealed the facts of har card to the Fund/AMC for updating the	Idealise a mornador local in the for details with the regulatory at the commissions (in the for dicative portfolio and/ or a und by the terms & conditions We confirm that I/W esatisfy the same in the form (read along with mation is not provided, it will beneficial overship. I/We al same in my folio.
To The Trustees, Mirze Asset Mutual Fund (The Fund) – (A) H and regulations governing the scheme. (B) (We hereby declare) by the Covernment of India from time to time. (C) Signature of the Mirze Asset Global Investments (India) Private Limited (AMC) f overnment authorities as and when needed. I We will indemnify of trail commission or any other mode), payable to him for indicative yield by the Fund/AMC/tit distributor for this inves- he PIN agreement available on the AMC website for transacting excidency test as prescribed under FEMA provisions. I/We furth # United States or resident(s) of Canada. In case of change the FATCA & CRS Instructions) and hereby confirm that the inflo resumed that applicant is the ultimate beneficial owner, with no indertake to keep you informed in writing about any changes/mo	that the amount invested in the schemes is rund and undertake to update the inform the fund, AMC, Trustee, RTA and other in the different competing Schemes of V stment. Whe have not received nor have online. (H) RUX: I We herelow garge to co- decare that I We shall notify the AM mattion provided by me I us on this Form declaration to submit. In such case, the diffication to the above information in future	//our credit will constitu ation/details with the A ntermediaries in case c arrious Mtutal Fund been induced by any re sent the AMC to share dent in India" and are a soncerned SEBI regist concerned SEBI regist re & also undertake to p	MC / Fund/Registrers and Transfer Agent (f fary dispute regarding the elipibility, validity strom amongs which the Scheme is be belate or gifts, directly or indirectly in making til my transaction delaits to the registered inve liowed to invest into the Scheme as per the s AMC reserves the right to redeem my / ou mylete. I / We also confirm that I / We have ered intermediary reserves the right to rejec rovide any other additional information as m	(c) not une to une : view Pretey and authorization of mylour transar ng recommended to review. (F) I is investiment. (G) Applicable to in strenett advisor. (R)A phrough the re add F EMA regulations and other appli- rinvestments in the Scheme(s). (read and understood the FATCAS the application or reverse the allot ay be required at your end. (L) Aad	confirm that the AMC/Fund shall have' tions. (E) IVMe thref eckare that "The We hereby confirm that IVMe have n vestors availing the online facility: I/ gistrar or otherwise. (I) Applicable to F licable laws and regulations. (J) I IVMe (IFATCA/CRS Certification: I/I We have RSF Terms and Conditions and hereby nent of units, if subsequently it is found arr. IVMe hereby voluntarily submit Aac	he right to share my information and other ARN holder has disclosed to melus all of been offered communicated any in We have read, understood and shall be bo oreign Resident's Residing in India-U We are ned understood and shall be bo confirm that I am We are not United St we understood the information requireme accept the same. In case the above infor that applicant has concealed the facts of har card to the Fund/AMC for updating the	r details with the regulatory is the commissions in the for dicative portfolio and or as conditions with the conditions We confirm that I/We satisty / We as person(s) under the far ation is not provided, it will beneficial ownership. I/We al same in my folio.
To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) H and regulations governing the scheme. (B) I/We hereby declaret by the Government of India from time to time. (C) Signature of the Virge Asset Global Investments (India) Private Limited (AMC) [F overnment authorities as and when needed. I/We will indemnify of trail commission or any other model, payable to him for dicative yields the Fund/AMC/tist distributor for this invest readency test as prescribed under FEM Aprovisions. I/We turth Virbuted States or resident(s) of Canada. In case of change the FATCA & CRS Instructions) and hereby confirm that the info number of the scheme of the Virbut and the schemer, with no undertake to keep you informed in writing about any changes/mo	had the amount invested in the schemes is rund and undertake to update the inform the fund. AMC, Tuskes, RTA and other i the Fund, AMC, Tuskes, RTA and other internet. Twee have not resolved on the stment. Twee have not resolved on have on inter, (HTR ALK, We hardway argue to co or declare that IWe shall notify the AM mation provided by me / us on this Form declaration to submit. In such case, the diffication to the above information in future	/dour cředti vili loostiki talon/detalis with the A Intermediaries in case v arraious Mutual Fund been induced by any re- sent the ANC to share dent in indui ² and are e sent the ANC to share dent in indui ² and are e correct, and o correct, and correct, and o correcting desting e & also undertake to p	MC / Fund/Registrars and Transfer Agent (fary dispute regarding the eligibility, validity is from amongsi which the Scheme is be belaro grith, cirectly or indirectly in making ti my transaction details to the registered inve- liowed to invest in the Scheme as per the s AMC reserves the right to redeem my / ou nglete. I / Ve also continm that I / Ve have rovide any other additional information as m	(c) India unite to time, v) we netwoy and authorization of my/our transa- ing recommended to metus. (F) I simeta device (T(A) through the re- sider EMA regulations and other ap riverstamets in the Scheme(c), read and understood the FATCA8. I the application or reverse the ability ay be required at your end. (L) Aadh	confirm that the AMC/Fund shall have' tions. (E) I/We thref ecclare that "The We hereby confirm that I/We have n vestors availing the online facility. I/ gistrar or otherwise. (I) Applicable to FO gistra Contextures. (I) Applicable to FO (FATCA/CRS Certifications and hereby CRS Terms and Conditions and hereby nent of units, if subsequently it is found aar: I/We hereby voluntarily submit Aac	he right to share my information and other ARN holder has disclosed to melus all of been offered/ communicated any in We have read, understood and shall be bo oreign Resident's Residing in India-U we understood the information requireme accept the same. In case the above infor that applicant be soncealed the facts of har card to the Fund/AMC for updating the	r details with the regulatory a the commissions in the for dicative portfolio and/or a number of the state of the state We contine that IW satisfy the states preconsignation and the fast atios of this Form (read along with atios of this Form (read along with a state of the state of the state of the state of the state of the state state of the state of the state state of the state of the state of the state state of the state of the state of the state state of the state of the state of the state state of the state of the state of the state state of the state of the state of the state state of the state of the state of the state state of the state of the state of the state state of the state of the state of the state of the state state of the state of the state of the state of the state state of the state of the state of the state of the state state of the state of the state of the state of the state of the state state of the state of the state state of the state of th
To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) H and regulations governing the scheme. (B) I/We hereby declared by Uhe Government I find and mort into bine. (C) Signature of the Mirae Asset Global Investments (India) Private Limited (AMCU) F overnment authorities as and when needed. I/We will Indemnify of trail commission or any other model, payable to him for indicative yields the Fund/AMCI tis distributor for this inves- he PIN agreement available on the AMC, website for transacting United States or resident(G) of Canada In case of changed the FATCA & CRS Instructions) and hereby confirm that the info indicate to keep you informed in writing about any changes/mo		/dour credit will consist atom/details with the A Intermediates in case or Ararious Mutual Fund- been induced by any re- dentin India and area C, in which event the is true, correct, and o concerned SEBI regist e & also undertake to p	MC/FundRegistrars and Transfer Agent (f fary dispute regarding the eligibility, validity s from amongsi which the Scheme is be belero grift, directly or indirectly in making til my transaction details to the registered inve- lowed to invest in the Scheme as per the s AMC reserves the right to redeem my / ou mylete. I / We also confirm that I / We have ered intermediary reserves the right to rejec- rovide any other additional information as m Signature of 2nd Applicant			he right to share my information and other ARN holder has disclosed to melus all of been offered/ communicated any in We have read, understood and shall be bo oreign Resident's Residing in India-U ordinm that any We are not United Si accept the same. In case the adove infor that applicant has concealed the facts of har card to the Fund/AMC for updating the accept the Sime Some Side the facts of that card to the Fund/AMC for updating the accept the Sime Some Side the Side Side Side Side Side Side Side Sid	

SLI	Received Application from	Folio No.:	as per details below:
MENT	Scheme Name and Plan	Details	Date & Stamp of Collection Centre / ISC
EDGE		O SWP Amount (₹)	
CKNOWLEDGEMENT		○ STP Amount (₹)	
AC	L		Cheque / DD is subject to realisation



Α. **INSTRUCTIONS - COMMON TO SWP / STI**

- New investors who wish to enrol for SWP / STP should fill the form in addition to the Common Application Form. Please complete all details in the Common Application Form. Details of SWP / STP 1. should be provided on this form. Existing investors need to fill up this form only.
- 2. For multiple transactions under more than 1 scheme, separate form should be used.
- STP / SWP the form should be submitted at least 5 days (inclusive of the date of submission before Cut-off however, excluding Saturday, Sunday and other non-business day) before the 3. commencement date
- a) The installment start date shall not be later than 2 months from the date of application submission date
- The investor has the right to discontinue SWP / STP at any time he/she so desires by sending cancellation form at least 7 business days in advance of the immediate next due date to any of the offices of Mirae Asset Mutual Fund or its Authorised Collection Centres. On receipt of such request the SWP / STP will be terminated and in case of SIP balance post-dated cheques will be returned to the 4. investor.
- Units will be Allotted / Redeemed / Transferred at the NAV related prices of the 1st, 10th, 15th, 21st, 28th of every month (or next business day, if 1st and/or 10th and/ or 15th and/or 21st and/or 28th is a non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An updated account 5. statement will be sent after each transaction under the Special Products, wherever, email address has been provided, the account statements will be sent through email only. Other investors will be sent on a quarterly basis

6. Aadhaar

- Investors are requested to note that pursuant to the direction issued by Honorable Supreme Court on March 13, 2018 in Writ Petition (Civil) no. 494/2012, the last date for mandatory submission of Aadhaar in respect of the existing mutual fund folios / accounts, including accounts / folios opened up to March 31, 2018, has been deferred till further notice. Existing unitholders are however encouraged to link their Aadhaar to their mutual fund folio(s).
- However, in partial modification of the notice-cum-addendum dated February 14, 2018, the requirement of submission of Aadhaar at the time of opening a folio/account by a new customer (i.e., an investor who is investing for the first time in Mirae Asset Mutual Fund and does not have any folio in Mirae Asset Mutual Fund), from April 1, 2018, shall not be mandatory. However, such new investors are encouraged to link their Aadhaar to their mutual fund folio(s).
- Effective Jan 1, 2018 Customer initiated transactions will not be allowed. However, Non-Customer initiated transactions however, will be permitted.
- Customer initiated transactions include new or additional Purchase, Redemptions & Switches, New Registration (SIP, SWP, STP, DTP), Unclaimed money (dividends / redemptions), New Lien Marking, Transmission (unless Aadhaar is made available for the person in whose favor units are proposed to be transmitted).
- Non-Customer initiated transactions include Corporate Action (Dividend Reinvestment/Payouts), Pre-existing standing instructions (SIP, SWP, STP), Close Ended Fund Maturity proceeds payout, KRA led changes, Non-Financial / Non Commercial Transactions, Lien Invocation by Fin Institution or pledgee, Any transaction pursuant to a Court or Regulatory order.
- Investors may please note that if the Name given in the application does not match the name as appearing on the PAN Card/Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected'. The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations and provision of the said data is mandatory as per
- applicable laws/rules/regulations. Post obtaining the Aadhaar number, we shall authenticate the same in accordance with the Aadhaar Act, 2016. We shall receive your demographic information which shall be used only to comply with applicable laws/rules/regulations.

B. INSTRUCTIONS - SYSTEMATIC WITHDRAWAL PLAN (SWP)

Options available and Minimum Amount :

- Investors can withdraw fixed amount of Rs. 1,000/- each and above, only under the Growth option of the scheme under which SWP is allowed
- SWP is not available for investments under lock-in period and for investments which are pledged. SWP can be made through Fixed withdrawals only.
- The SWP will be registered at the requested frequency after 5 days (inclusive of the date of submission before Cut-off however, excluding Saturday, Sunday and other non-business day).
- 5.
- SWP payment will be made in the bank account registered under the folio. In case of Fixed Withdrawal, if the amount of installment is more than the amount available in that account for redemption, the entire available amount will be redeemed and the SWP will be terminated. 6.
- Investors can withdraw fixed amount on 1st, 10th, 15th, 21st and 28th under the 4 frequencies which is Monthly, Quarterly, Semi Annually and Annually.
- 8
- SWP shall be registered for a default of 5 installments across each frequency for a minimum of Rs.1000 or above. Default frequency will be Monthly and 10th of each month in case of option selected or any ambiguity in selection of frequency or date. q
- 10. Applicable Exit Load under SWP, which will be on First In First Out Basis (FIFO):
- a) SWP for 15% of the units allotted (including Switch-in/STP- in) on or before completion of 365 days from the date of allotment of units: Exit Load Nil. Not eligible for Mirae Asset Focused Fund. b) Any redemption by virtue of SWP in excess of such above limits in the first 365 days from the date of allotment, Exit Load of 1% shall apply. There shall be NIL Exit Load, for the units that are redeemed after 1 year (365 days) from the date of allotment.
- If there are 3 Consecutive failures on account of SWP execution, by virtue of insufficient balance in the Scheme opted for the designated frequency/date, SWP shall be automatically ceased/ terminated for all future installments.

INSTRUCTIONS - SYSTEMATIC TRANSFER PLAN (STP) C.

- Transfer fixed sums from their Unit accounts in the Scheme to the existing schemes or other schemes launched by the Mirea Asset Mutual Fund from time to time.
- Minimum Amount: A] Daily Monday to Friday: 5 transfers of ₹ 1,000/- each and above. 2.
- B] Weekly Every Wednesday / Fortnightly Every Alternate Wednesday Monthly Plan: Minimum 5 transfers of ₹1,000/- each and above.
- C] Quarterly Plan: Minimum 5 transfers of ₹ 1,000/- each and above. D] For STPs under Mirae Asset Tax Saver Fund (MATS) minimum amount of STP shall be of ₹ 500/- and in multiples of ₹ 500/- thereafter. Each STP Installment 'OUT' of Mirae Asset Tax Saver Fund shall be subject to lock in period of 3 years from the date of allotment of Units proposed to be redeemed. In the interest of investors, it is hereby clarified that where the switch request has been made from one scheme to another specifying the number of Units or Amount (during NFO as well as ongoing), the request will be processed for the corresponding value of such units or amount and that the allotment in the resultant scheme may be done in fractional units, subject to fulfillment of the minimum purchase amount of the scheme where it is being switched
- STP is not available for investments under lock-in period.
- In case of insufficient balance, the transfer will not be effected. In case of absence /ambiguity in selection of frequency, default will be monthly for 10th of each month, Transfer Dates/ Days: Daily Monday to Friday, Weekly Every Wednesday, Fortnightly Every Alternate Wednesday
- Monthly Plan: Transfers can be on 1st, 10th, 15th, 21st, 28th of each month for minimum 5 transfers. Quarterly Systematic Transfer Plan: Transfers can be on 1st, 10th, 15th, 21st, 28th of each quarter or minimum 5 quarters.
- Transactions will be triggered after 5 days (inclusive of the date of submission before Cut-off however, excluding Saturday, Sunday and other non-business day). In case of any ambiguity in selection of transfer frequency or start date, the STP date will be 10th of the month / quarter, and STP will start from the immediate available applicable day for the respective frequency option after the expiry of Said Period. Further, if there is a discrepancy in terms of Transfer Period, STP will continue as long as there is sufficient balance under the OUT scheme. At every installment, the system will check for the Balance amount in the Transferor Scheme with "STP Amount": 1. If "Balance Amount in the Transferor Scheme" is more than or equal to "2 x STP Amount", then only the STP amount will be transferred and the balance amount will continue to remain in the Scheme.
- 7.
- Scheme
- 2. If "Balance Amount in the Transferor Scheme" is less than "2 x STP Amount", the entire Balance Amount in the Transferor Scheme (OUT Scheme) will be switched out in to the Transferee Scheme (IN Scheme).

Further, if there are 3 consecutive failures on account of STP execution from the Transferor Scheme (OUT Scheme), on the designated frequency, STP shall be ceased / terminated for all future installments. The provision relating to "Minimum Redemption Amount" of the designated Transferor Scheme(s) and "Minimum Application Amount" of the designated Transferee Scheme(s) shall not be applicable for such STP executions on a residual note

- Example: If an investor having investment of ₹ 15,000 in Mirae Asset Cash Management Fund (MACMF) starts monthly STP of ₹ 1,000 in Mirae Asset Emerging Bluechip Fund (MAIEF)
- If at the time of STP installment, the Balance amount in the MACMF is more than or equal to ₹2,000 only STPAmount of ₹1,000 will be transferred to MAIEI If at the time of STP installment, the Balance amount in the MACMF is less than ₹2,000 the entire balance amount in MACMF will be transferred to MAIEF.

D. EUIN

Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the Application Form. EUIN, particularly in advisory transaction, would assist in addressing any instance of mis-selling even if the employee / relationship manager/sales person later leaves the employment of the distributor. individual ARN holders including senior citizens distributing mutual fund products are also required to optain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/ sales person / relationship manager of the distributor/ sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investore. investor