

# COMMON TRANSACTION FORM



DISTRIBUTOR / BROKER INFORMATION				TIME STAMPING
Name & Broker Code / ARN / RIA / PMRN Code#	Sub Broker / Sub Agent ARN Code	*EUIIN	Internal Code for Sub-broker/ Employee	
ARN-24603 (mp here)	ARN-	E024583		

#By mentioning RIA/ PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Bandhan Mutual Fund.

\*Please sign below in case the EUIIN is left blank/not provided. I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE	First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

Mandatory	KYC compliance status (Please ✓)	<input type="checkbox"/> 1st Applicant	<input type="checkbox"/> 2nd Applicant	<input type="checkbox"/> 3rd Applicant	Folio No.										
	Name of the Sole/ First Unit Holder														

## 1 ADDITIONAL PURCHASE (Cheque/DD to be drawn in favour of "Name of the Scheme"). In case you do not mention Plan and/or Option units will be allotted under default option as per respective scheme information documents.

Scheme Name	Bandhan	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment <input type="checkbox"/> IDCW Sweep (Please fill section 3)	<input type="checkbox"/> IDCW frequency		
PAYMENT MODE (Please ✓): <input type="checkbox"/> Cheque / DD <input type="checkbox"/> OTM (One Time Bank Mandate) <input type="checkbox"/> Fund Transfer <input type="checkbox"/> RTGS / NEFT <input type="checkbox"/> UPI*				
Bank A/c No		A/c. Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others	
Cheque / DD / UTR No. / UMRN / UPI Ref. No.		Date	D D M M Y Y	Amount (figures (₹))
Drawn on Bank		Drawn on Branch & City		
Payment Type (Please ✓)	<input type="checkbox"/> Non-Third Party Payment	<input type="checkbox"/> Third Party Payment (Please attach 'Third Party Payment Declaration Form')		
Virtual Payment Address (VPA) / UPI ID	@UPI			

## DEMAT ACCOUNT DETAILS (Mandatory for units in Demat Mode - Please ensure that the sequence of names as mentioned as given in folio, matches as per the Depository Details.)

NSDL: Depository Participant (DP) ID (NSDL only)	Beneficiary Account Number (NSDL only)	CDSL: Depository Participant (DP) ID (CDSL only)

## 2 SWITCH REQUEST (Please refer to the SID of the scheme you are switching from and to)

FROM Scheme Name	Bandhan	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment <input type="checkbox"/> IDCW frequency			
Amount (in figures (₹))	Or Units (in figures)	<input type="checkbox"/> Or All Units		
TO Scheme Name	Bandhan	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment <input type="checkbox"/> IDCW Sweep (Please fill section 3)	<input type="checkbox"/> IDCW frequency		

## 3 REDEMPTION

Scheme Name	Bandhan	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment <input type="checkbox"/> IDCW frequency			
Amount (in figures (₹))	Or Units (in figures)	<input type="checkbox"/> Or All Units		
Amount (in words (₹))				
<input type="checkbox"/> I/we request you to credit my redemption proceeds to the below mentioned Bank A/c (Note: This bank a/c should be one of the multiple bank a/cs already registered)				
Bank A/c No		Bank Name		

## DECLARATION AND SIGNATURES (Please refer instructions overleaf, before submitting the form.)

I/We have read, understood and agree to comply with the terms and conditions of the Statement of Additional Information, Scheme Information Documents and Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act and Common Reporting Standards, statutory requirements prescribed by SEBI, AMFI, Prevention of Money Laundering Act, 2002 (PMLA), Privacy Policy of Bandhan AMC Limited available on the website of Bandhan Mutual Fund www.bandhanmutual.com and all applicable rules and regulations and hereby confirm that I/We have not received nor been induced by any rebate or gifts, directly or indirectly, to make this investment. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs / PIOs / FPIs only: I/We confirm that I am / we are Non Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons as per applicable Regulations or (ii) residents of Canada, and I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines. I/We hereby provide my/our consent to Bandhan AMC Limited for (i) collecting, storing and usage of personal information for the purposes of processing my/our application and providing the services to which I/we have subscribed and for the purposes of meeting legal and regulatory requirements; (ii) receiving updates on promotional material and transaction related communication via mail, telecall, SMS, etc.

SIGN HERE	First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

For All online/channel/exchange investors, physical requests cannot be processed in absence of specimen signature. Registration of specimen signature is mandatory for such investors, before submission of any physical requests.

## ACKNOWLEDGEMENT SLIP

Received, subject to realisation, verification and conditions

From		Time Stamping
Folio No.		
<input type="checkbox"/> ADDITIONAL PURCHASE <input type="checkbox"/> REDEMPTION <input type="checkbox"/> SWITCH		

## INSTRUCTIONS

**A. General Instructions:**

1. For subscription transaction, relevant KYC, FATCA, & UBO form should be submitted with this transaction slip, if applicable.
2. NPO declaration is mandatory for Trusts/Societies/Section 8 companies (under Companies Act, 2013)
3. KYC & FATCA details needs to be submitted ONLY once under the folio, unless there is a change in record previously submitted.
4. FATCA details are required to be submitted, in absence of which the PAN of the investor would become reportable.
5. Please read the Offer document, addendum, KIM and all scheme related information before submitting the Form.
6. As per guidelines issued by SEBI under the 'Prevention of Money Laundering Act, 2002', all unitholders should mandatorily be KYC compliant, for subscription or switch of mutual fund units. Hence applications from non-KYC compliant unitholders will not be honoured.
7. Any alteration in the form should be countersigned by the holders, in order to be successfully processed.
8. Where investor has mentioned, ARN/sub-broker/EUIN code of distributor, and selected 'DIRECT' plan under scheme option, the application would be processed under Direct plan irrespective of the Distributor information. Further, in a reverse case where an application is received under 'Regular' Plan without DIRECT /ARN code being mentioned under ARN column, the application will also be processed as a Direct Application with 'Direct' plan as the scheme option.

**B. Specific Instructions - Additional Purchase**

1. 1st holder should mandatorily be one of the a/c holders in the bank account from where the amount for subscription is being issued. In the case where unit holder name is not present in the payment instruction (cheque/DD/RTGS/NEFT/Fund Transfer advice) the same needs to be evidenced with necessary supporting documents, as required by the AMC.
2. Applicant pay-in bank details is mandatory for additional purchase.
3. Subscription vide cash, money orders, postal orders, stock invests, outstation or post-dated cheques and third party payments will not be accepted.

**C. Specific Instructions – Additional Purchase through OTM**

1. Investors who choose to subscribe through OTM facility registered under their Folio, do not need to provide any pay-in instrument details
2. Bank details are mandatory, if transaction is executed through OTM facility. In case where no bank details are mentioned OR OTM mandate is not registered under the given bank details, default bank mandate under OTM facility will be considered to debit the purchase amount.
3. For transactions above 2 lacs OR subscription in Liquid and Money Market schemes, units will be allotted basis realization of funds (i.e. applicable NAV). The AMC is not liable for any delay in application of NAV, arising out of late credit of proceeds into scheme collection account.
4. Subsequent purchase transactions may be rejected by banker, if the frequency specified in OTM is not opted for "As and when presented", OR if purchase amount exceeds fixed/maximum amount specified in Mandate.
5. AMC reserves the right to reject or process an application subject to internal verification.

**D. Specific Instructions – Redemption/Switch**

1. Transaction is liable to rejection in case details pertaining to Folio/scheme/plan/sub option is not mentioned correctly.
2. Successful processing of transactions are subject to availability of clear mention of amount/ units
3. If there is no sufficient balance of amount/units available to match the specified units in the transaction advice, then the free/available units under the scheme will be redeemed/switched.
4. Redemption proceeds will be credited ONLY to the default bank mandate registered OR any of the pre-registered multiple bank accounts (if specified) under the folio, at the time of the transaction.

**E. UPI Transactions\***

1. Mandatory fields like Unique Payment Reference Number, IFSC code and VPA/UPI address need to be filled in the application form.
2. Folio no. and scheme name to be captured in the remark's column on your UPI app.
3. Units allotment will be subject to receipt of the application before the cutoff timings and the realization of fund against UPI transfer.
4. Payment has to be made only from the registered bank account in the folio.
5. In case, the amount received is from the unregistered account, the reconciliation including third party verification will happen on the basis of account number and name as per the reverse feed.
6. In case, bank account no. or investor name does not match, investor will have to arrange for cheque copy / bank statement for the account number as per bank report. If name in bank records does not match the funds would be considered as received from third party and the allotment of units shall be liable for rejection and amount refunded to the source.
7. In case, cheque copy / bank statement is not received within T+3 days or Investor Name is not available on cheque copy / bank statement, within T+5 days refund shall be initiated transaction will be rejected.

In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form', available on our website [www.bandhanmutual.com](http://www.bandhanmutual.com) under the downloads section, and submit the same at the Point of Service of any KYC Registration Agency.

**Toll free 1800 266 6688 / 1800 300 66688**  
Available Between  
9:00 am - 6:00 pm on weekdays

Please note our investor  
service email id  
**investormf@bandhanamc.com**

**[www.bandhanmutual.com](http://www.bandhanmutual.com)**