SPECIAL PRODUCTS APPLICATION FORM (SIP-PDC/ SWP/ STP/ MICRO SIP)

BOI AXA Investment Managers

1 DISTRIBUTOR INFORMATION | FOR OFFICE USE ONLY

Name & Agent Code	Sub-Agent Name & Code/ Bank Branch Code	EUIN No.	CO Code	MO Code	Registrar Serial No.	Date/Time of Receipt
24603		E024583				

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sole/1st applicant/Guardian/Authorised Signatory/POA	2nd applicant/Authorised Signatory	3rd applicant/Authorised Signatory

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including services rendered by the distributor.

2 INFORMATION OF EXISTING INVESTOR

Folio No. / ZERO Balance Folio Number ______ *Mandatory field**

3 APPLICANT INFORMATION (Please refer Point No. 8) *(Please ✓)*

Name of Sole /First Applicant* ☐ *Mr.* ☐ *Ms.* ☐ *M/s.* *Date of Birth* D D M M Y Y Y Y (*Mandatory for all investors)

FIRST NAME | MIDDLE NAME | LAST NAME

Documents Enclosed^ ☐ *Micro SIP* ______ ☐ *PAN Proof* ☐ *KYC*˙ *PAN** ______

Name of Guardian/Contact Person# *Relationship with MINOR* ______ *Guardian's Date of Birth* D D M M Y Y Y Y

FIRST NAME | MIDDLE NAME | LAST NAME

Documents Enclosed^ ☐ *Micro SIP* ______ ☐ *PAN Proof* ☐ *KYC*˙ *PAN** ______

#*Please mention the contact person in case of Non-individual*

˙**KYC** - *Mandatory for investments of ₹ 50,000/- and above, for certain category of investors, mandatory irrespective of transaction value (Refer Instruction No. 8)*

^For Micro SIP refer Point No. 5 and 8

Mode of Holding ☐ *Single* ☐ *Joint* ☐ *Anyone or Survivor*' *('Default)*

4 SYSTEMATIC INVESTMENT PLAN (SIP) / MICRO SIP

☐ **SIP**
☐ **Micro SIP** *(Refer Instruction No. 5)*

SCHEME*: ______ **PLAN*:** ______ **OPTION*:** ______

SUB OPTIONS*: ______ **DIVIDEND FREQUENCY*:** ______

Investment Amount (₹) (in figures) ______ Investment Period (in months) ______ From D D M M Y Y To D D M M Y Y

Investment Commencement Date D D M M Y Y Y Y Dates ☐ 1st ☐ 7th* ☐ 10th ☐ 15th ☐ 20th ☐ 25th (*Default date is 7th)

Bank A/c No. ______ Frequency *(Please ✓)* ☐ MONTHLY* (*Minimum 6 months)

Drawn on Bank ______ Branch ______

Cheque Dates From D D M M Y Y Y Y To D D M M Y Y Y Y Cheque Nos. From ______ To ______

Account Type *(Please ✓)* ☐ SAVINGS ☐ CURRENT ☐ OTHERS *(please specify)* ______ PDC facility for daily SIP is not available

5 SYSTEMATIC WITHDRAWAL PLAN (SWP)

FROM SCHEME*: ______ **PLAN*:** ______ **OPTION*:** ______

SUB OPTIONS*: ______ **DIVIDEND FREQUENCY*:** ______

Withdrawal Option *(Please ✓)* ☐ FIXED or ☐ APPRECIATION WITHDRAWAL Amount (₹) (in figures) ______

Total Amount of SWP (₹) (in figures) ______ Fixed Withdrawal Frequency *(Please ✓)* ☐ MONTHLY (minimum 6 months) or ☐ QUARTERLY

Dates (Only one date) ☐ 1st ☐ 7th* ☐ 10th ☐ 15th ☐ 20th ☐ 25th (*Default date is 7th) Withdrawal Period From D D M M Y Y Y Y To D D M M Y Y Y Y

6 SYSTEMATIC TRANSFER PLAN (STP)

FROM SCHEME*: ______ **PLAN*:** ______ **OPTION*:** ______

TO SCHEME*: ______ **PLAN*:** ______ **OPTION*:** ______

Amount per Transfer (₹) ______ Transfer Period From D D M M Y Y Y Y To D D M M Y Y Y Y

Dates ☐ 1st ☐ 7th* ☐ 10th ☐ 15th ☐ 20th ☐ 25th (*Default date is 7th) Frequency *(Please ✓)* ☐ DAILY ☐ MONTHLY

Total Amount of Transfer (₹) (in figures) ______ Total Amount in words ______ No. of Installments ______

7 DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information of BOI AXA Mutual Fund including the section on "Who cannot invest" and "Prevention of Money Laundering". I/We hereby apply for Allotment/Purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am /are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise BOI AXA Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s)/BOI AXA Mutual Fund's bank(s) and /or Distributor /Broker / Investment Advisor. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated.

Applicable to NRI only: I /We confirm that I am/we are Non-Resident Indian/Person of Indian Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this Folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

Applicable to citizen of USA/ Canada: I/We hereby confirm that I/We am/are not restricted persons resident in Canada or in Countries which are non-compliant with FATF Agreements or in the United States of America (USA), or corporations, or partnerships or any other entity created or organised in or under the laws of USA or any person/entity falling within the definition of the term 'US Person' under the US Securities Act of 1933, (as amended). I/We hereby confirm that I/We are not giving a false confirmation and/or disguising my/our country of residence. I/We confirm that BOI AXA Investment Managers Pvt. Ltd. is relying upon this confirmation and in no event shall members of the BOI AXA Group and / or their directors, officers and employees be liable for any direct, indirect, special, incidental or consequential damages arising out of false confirmation/information.

I/ We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature(s)

Sole/1st applicant/Guardian/Authorised Signatory/POA	2nd applicant/Authorised Signatory	3rd applicant/Authorised Signatory

(To be signed by All Applicants if mode of operation is Joint)

BOI AXA Investment Managers

ACKNOWLEDGEMENT SLIP

To be filled in by the Investor

Folio No. / Application No. ______

(To be filled in by the First applicant/Authorized Signatory) :
Received from Name & address : ______
an application for Purchase of Units alongwith Cheque ☐ *SIP-PDC/ Micro SIP-PDC/ SWP/ STP* ☐ *For ₹* ______
All purchases are subject to realisation of cheques. *Cheque Number from* ______ *to* ______

Acknowledgement Stamp

INSTRUCTIONS - COMMON TO SIP-PDC/ SWP/ STP FACILITIES AVAILABLE UNDER THE SPECIAL PRODUCTS (SIP-PDC/ SWP /STP)

1. Existing investors seeking for Special Product only, need to fill up this Special Product Form. New investors who wish to enrol for the Special Product should fill up Special Product Form in addition to the Common Application Form. However, in line with SEBI and AMFI guidelines the requirement of documents for submission of documents for subscription/ transaction is modified from time to time. Therefore, for such additional requirements the investor is required to refer the "Instruction for Completing the Application Form" (as provided in the main application form) and submit such additional documentation for the same.
2. In case of renewal of SIP-PDC/ SWP/ STP this form should be submitted at least 15 working days prior to the due date
3. The investor has the right to discontinue SIP/ SWP/ STP at any time he/she so desires by sending a written request at least 15 working days prior to the due date of next SIP / SWP / STP to any of the offices of BOI AXA Mutual Fund or its Authorised Collection Centres. On receipt of such request the SIP / SWP / STP will be terminated and in case of SIP balance post-dated cheques will be returned to the investor.
4. Units will be allotted / redeemed / transferred at the Applicable NAV related prices of the 1st /or 7th /or 10th /or 15th /or 20th /or 25th of every month (or next business day, if 1st/or 7th /or 10th /or 15th /or 20th /or 25th non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An account statement will be dispatched to the unit holders once every quarter within 10 working days of the end of each quarter. The first account statement will be issued within 10 working days of the initial investment.
5. Investors availing the Micro SIP facility shall be exempted from submission of requirement of PAN. Micro SIP shall be applicable where aggregate of installments in a rolling 12 months period or in a financial year i.e. April-March does not exceed ₹ 50,000/-.
6. Micro SIP benefit is available to individuals, NRI's, Minors and Sole Proprietors only. HUF's, PIO's and any other entities/ persons etc. are not eligible. The AMC will reject the application where they find that documents are deficient or where the installment total will exceed ₹ 50,000/-.
7. Eligible investors are required to submit any one of the following photo identification document as a proof of identification in lieu of PAN:
   1. Voter Identity Card; 2.Driving License; 3.Government / Defense identification card; 4. Passport; 5. Photo Ration Card; 6. Photo Debit Card; 7.Employee ID cards issued by companies registered with Registrar of Companies; 8.Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; 9.ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; 10.Senior Citizen / Freedom Fighter ID card issued by Government; 11.Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; 12. Permanent Retirement Account No (PRAN) card isssued to New Pension System (NPS) subscribers by CRA (NSDL); and 13.Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.
8. Submission of KYC acknowledgement is not mandatory in case of investments as Micro SIPs. However, for such cases the proof of identification and proof of address (as specified in the above documents list) (if the proof of identification also includes address the separate address proof is not necessary) may be submitted along with the application as part of the regulatory requirement. Such documentary proofs should be self attested or attested by the distributor/ any competent authority. However, in case the Micro SIP investor has a PAN, then it is mandatory that he/she submit the KYC acknowledgement issued by CVL.
   Investments by investors residing in the State of Sikkim are not required to provide KYC acknowledgement. However, in such cases, investor require to submit proof of identification and proof of address (as per specified documents list mentioned above) (if proof of identification also includes address, a separate address proof is not necessary). Proof of address should contain address in the State of Sikkim and the address mentioned in the application form should be the same. Such documentary proofs should be self-attested or attested by the distributor/ any competent authority.
9. DIRECT APPLICATIONS : Investors should ensure to write the word 'DIRECT' in the column 'ARN No' or 'Broker Code' in their applications for purchases/additional purchases/ switches/fresh Systematic Investment Plans (SIP)/ fresh Systematic Transfer Plans (STP) in all such cases where applications are not routed through any distributor/agent/broker. In cases where unit holder uses a pre-printed transaction slip where details in the 'ARN No' or 'Broker Code' column is already printed, unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column and it should also be counter signed by the unit holder/ all joint holder(s). Transactions slips/application forms where the column under 'ARN No or 'Broker Code' is provided, has to be filled by the unit holder as 'DIRECT' for processing the same as Direct applications. Investors can submit their applications for purchases / additional purchases/ switches/fresh SIP/ fresh STP at any of the Official Point(s) of Acceptance of BOI AXA Mutual Fund. The list of Official Point(s) of Acceptance is available on the website of BOI AXA Mutual Fund (www.boiaxa-im.com).
10. The applicability of SIP/ SWP/ STP will be as per the terms & conditions of the respective Scheme Information Documents and Statement of Additional Information.
11. Please attach Photo copy of a cancelled cheque.
12. All post-dated cheques have to be issued favouring the individual scheme name with extension of Folio No./ PAN No./ Name of the first Holder (For SIP-PDC).
13. The first investment cheque while applying for Daily SIP/ Monthly SIP can be either equal to or greater than the subsequent Daily SIP/ Monthly SIP installment amounts. The subsequent Daily SIP/ Monthly SIP installment amounts however should remain the same as per the scheme specific information document and all the post-dated cheques issued.
14. Cheques should be drawn payable at locations of BOIAIM branches & authorised centres. Non MICR / outstation post dated cheques will not be accepted for SIP.
15. Options available under SWP & Minimum Amount in BOI AXA Regular Return Fund and BOI AXA Short Term Income Fund
    A] Fixed withdrawal: Investors can withdraw fixed amount of ₹ 500/- and in multiples of ₹ 100/- thereafter at regular intervals & the dates applicable for the same are 1st /or 7th/or 10th /or 15th /or 20th /or 25th for minimum 6 months.
    B] Appreciation SWP: Appreciation of above ₹ 500/- can be withdrawn at monthly & quarterly frequency & the dates applicable for the same are 1st /or 7th /or 10th /or 15th /or 20th/or 25th of the relevant month. In both Fixed Withdrawal and Appreciation SWP, Units will be redeemed basis Applicable NAV on the date chosen as per above.
16. If the STP application has from date and to date, then it refers to calendar days and processing happens only on business days.
17. If the STP application has number of installments, the number of installment is registered by omitting the non business day and holidays.

Ready Reckoner for Special Product

Schemes	SIP				STP						SWP				
	Available	Minimum Amount	SIP Frequency	Minimum Duration	Available		Minimum Amount	STP Frequency	Minimum Duration	Available		Minimum Amount	Withdrawal Frequency	Minimum Duration Installment
					IN	OUT								
BOI AXA Equity Fund		Daily -₹ 300 Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Daily Monthly	Daily - 1 Month Monthly - 6 Months			Daily -₹ 300 Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Daily and Monthly	Daily - 1 Month Monthly - 6 Months			Not Applicable		
BOI AXA Tax Advantage Fund		₹ 500 and in multiples of ₹ 1 thereafter	Monthly	6 Months			₹ 500 and in multiples of ₹ 1 thereafter	Monthly	6 Months		Minimum balance in a scheme for SWP should ₹ 10,000	₹ 500 and in multiples of ₹ 1 thereafter	Monthly, Quarterly	6
BOI AXA Focused Infrastructure Fund		Daily - ₹ 300 Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Daily Monthly	Daily - 1 Month Monthly - 6 Months			Daily - ₹ 300 Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Daily and Monthly	Daily - 1 Month Monthly - 6 Months			Not Applicable		
BOI AXA Regular Return Fund		Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	6 Months			Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	6 Months		Minimum balance in a scheme for SWP should ₹ 25,000	₹ 500 and in multiples of ₹ 100 thereafter	Monthly, Quarterly	6
BOI AXA Equity Debt Rebalancer Fund		Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	12 Months			Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	12 Months			Not Applicable		
BOI AXA Short Term Income Fund		₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	6 Months			₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	6 Months		Minimum balance in a scheme for SWP should be ₹ 25,000	₹ 500 and in multiples of ₹ 100 thereafter	Monthly, Quarterly	6
BOI AXA Liquid Fund		Not Applicable					Daily - ₹ 300 Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Daily and Monthly	Daily - 1 Month Monthly - 6 Months			₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly, Quarterly, Annually	Monthly - 6 Quarterly - 6 Annually - 2**
BOI AXA Treasury Advantage Fund		₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly	6 Months			Daily - ₹ 300 Monthly - ₹ 1,000 and in multiples of ₹ 100 thereafter	Daily and Monthly	Daily - 1 Month Monthly - 6 Months			₹ 1,000 and in multiples of ₹ 100 thereafter	Monthly, Quarterly, Annually	Monthly - 6 Quarterly - 6 Annually - 2**

BOI AXA Equity Fund and BOI AXA Focused Infrastructure Fund currently does not offer STP OUT facility. Daily SIP is allowed only in respect of BOI AXA Equity Fund and BOI AXA Focused Infrastructure Fund. Default dates for Monthly/Quarterly SIP/SWP/STP is 7th of relevant month. Withdrawals are subject to 3 year lock-in under BOI AXA Tax Advantage Fund.

** 1st redemption under the facility will be effected on the 3rd day of the anniversary month, or on the 3rd day of the month as indicated by the Unit holder, and annually thereafter. If the 3rd day referred above is a non business day, the redemption of or the SWP will be effected on the following Business Day. "Anniversary month" means 12 calender months from the month in which the request from the Unitholder for the Facility is registered.

Special Products Application Form/031214

For more information visit us at
www.boiaxa-im.com

Email us at
service@boiaxa-im.com

Call us at (Toll Free)
1-800-1032-263

Alternate Number
020-4011 2300