

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM)

DATE 

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ARN & ARN Name	Sub Agent's ARN / Bank Branch Code	Employee Unique Identification Number (EUIIN)	RIA/PMRN Name & Code	Internal Code for Sub-Agent / Employee
24603		E024583		

ARN Declaration - Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors assessment of various factors including the service rendered by the distributor.  
**Consent for sharing Transaction Feed with RIA/PMRN (Applicable for investments through RIA/PMRN only)**  I/We hereby give my/our consent to share/provide the transaction feed / portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan in the scheme(s) of Mahindra Manulife MF, to the above mentioned SEBI Registered Investment Advisor (RIA) or SEBI Registered Portfolio Manager (PMRN).  
**EUIIN Declaration (only where EUIIN box is left blank) (Refer General Instruction 1)**  I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

 First/ Sole Applicant/ Guardian / PoA Holder / Karta	 Second Applicant	 Third Applicant
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<b>Folio No.:</b>	
<b>First/ Sole Account Holder Name:</b>	
<b>Joint Holder 1 / Guardian Name:</b>	
<b>Joint Holder 2 Name</b>	

**ADDITIONAL PURCHASE**

**Payment Type** :  Non-Third Party Payment  Third Party Payment (Please attach 'Third Party Payment Declaration Form')

**Payment Mode** :  Cheque  DD  Fund Transfer  RTGS / NEFT  OTBM Facility (One Time Bank Mandate)

**Payment Through** :  Single Cheque  Multiple Cheques (Refer instruction 4)

**Existing UMRN**

**LEI No.**   
**Valid upto:**

**1.NOTE:** LEI No. is Mandatory for transaction amount ₹ 50 crs and above for Non individual investors. **2. NOTE:** In case of, Payment through single cheque for investment in more than one scheme, the cheque/DD should be issued in favour of 'Mahindra Manulife Multiple Schemes' for the total investment amount mentioned below and the cheque/DD details need to be filled only once.

<b>Mahindra Manulife</b>	<b>₹: Amount</b>	Payment Ref. / Cheque No./DD/RTGS	Date:
<i>Scheme/Plan/Option/Sub Option</i>		Pay in A/c No.:	Bank:
<b>Mahindra Manulife</b>	<b>₹: Amount</b>	Payment Ref. / Cheque No./DD/RTGS	Date:
<i>Scheme/Plan/Option/Sub Option</i>		Pay in A/c No.:	Bank:
<b>SWITCH</b> (Refer instruction C)		<b>REDEEM</b> (Refer instruction C)	
Amount ₹: _____		Amount ₹: _____	
Units: _____ <input type="checkbox"/> All free Units:		Units: _____ <input type="checkbox"/> All free Units:	
<b>From Scheme</b> _____		Scheme _____	
Plan _____ Option _____		Plan _____ Option _____	
<b>To Scheme</b> _____		Credit redemption proceeds to registered* Bank Name: _____	
Plan _____ Option _____		_____ A/c No. _____	

\*Mention your bank account for redemption proceeds, if multiple bank accounts are registered, else Default account will be considered.  
 Investors desiring to get allotment of units in dematerialized mode instead of physical mode should provide the details in their demat account below:

<b>NSDL</b>	DP NAME _____	DP ID _____	<b>I N</b>	<input type="text" value=""/>	<b>Beneficiary Account No.</b>	<input type="text" value=""/>
<b>CDSL</b>	DP NAME _____	DP ID _____	<b>Beneficiary Account No.</b>	<input type="text" value=""/>		

Please attach a copy of the DP statement / Client Master Form to enable us to verify the demat account details. **Important:** Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. **The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment.** In case the verification fails, units will be credited in physical mode.

**DECLARATION(S) AND SIGNATURE(S)**

**To, The Trustee, Mahindra Manulife Mutual Fund**

I / We have read and understood the contents of the scheme related documents (i.e. Scheme Information Document / Key Information Memorandum & Statement of Additional Information) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I / We confirm that I / We are not residents(s) of Canada as defined under the applicable laws of Canada. The amount invested in the Scheme(s) is derived through legitimate sources only and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/ or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, AMC, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi- judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the AMC / the Fund, their appointed service providers or representatives responsible. The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield for this investment. Applicable to NRIs only: I / We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct.

 First Unitholder / Authorised Signatory	 Second Unitholder	 Third Unitholder
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✂----- TEAR HERE -----✂

Received from Mr./Ms./M/s \_\_\_\_\_  
 transaction form for Additional Purchase/Switch/Redemption of/from Scheme \_\_\_\_\_ to Scheme \_\_\_\_\_  
 of Rs \_\_\_\_\_ OR \_\_\_\_\_ units.

**ISC Stamp & Signature**

**A. Common Instructions:**

1. Please read the Scheme related documents, Addenda, KIM carefully before submitting the form.
2. KYC: According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002, it is made compulsory for all unitholders to be KRA-KYC compliant while making an application for subscription of units. For more information on KRA-KYC, please log on to [www.mahindramanulife.com](http://www.mahindramanulife.com) before investing. Applications are liable to be rejected without any intimation to the applicants, if required KRA-KYC compliance is not complied by all the unit holders. In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request form' and submit the same at Point of Service of any KYC Registration Agency.
3. If the broker details are changed / re-written, please countersign near the broker box for confirmation.
4. Employee Unique Identification Number (EUIIN): For applications routed through distributors, in addition to the ARN of the distributor, Unitholders are requested to also provide the EUIIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUIIN would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIIN box may be left blank, but it would be mandatory for the investor to provide signed confirmation as mentioned in the form. EUIIN is not required to be provided for redemption transactions.
5. In case ARN No/ Broker Code is mentioned in the form, but 'Direct Plan' is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan under respective scheme, subject to it being complete in all other aspects. Further, where application is received for 'Regular Plan' without Distributor code or 'Direct' mentioned in the ARN Column, the application will be processed under 'Direct Plan' of the scheme.

**B. Specific Instructions For Additional Purchases:**

1. To make an additional purchase, the first unit holder should be one of the bank account holders in the pay-in bank account i.e. the bank account used for issuing the payments to the Mutual Fund. Unitholder should attach necessary supporting documents as required by the Mutual Fund, if this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, or demand drafts.
2. Additional Purchase request should necessarily mention the pay-in bank account details i.e. account number and bank, branch name used for issuing the payments to the Mutual Fund.
3. Payment through outstation cheques, will not be accepted. D.D. charges, if any, would be borne by the AMC only for the investors residing at places which are not covered by our offices / authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India.
4. **Payment Through Single Cheque**
  - i. **Investor can choose to make payment through a single cheque for investing in more than one scheme** in the same folio by ticking the box 'Payment through Single Cheque'
  - ii. The Cheque/ DD should be drawn for the total amount across schemes mentioned in Additional Purchase section and should be in favour of "Mahindra Manulife Multiple Schemes - A/c PAN" or "Mahindra Manulife Multiple Schemes - A/c Investor Name" and crossed "A/c Payee only"
  - iii. The application may be rejected in total if:
    - ° The investment details for any one or more of the schemes is/are incomplete
    - ° The minimum investment criteria is/are not met for one or more of the schemes
    - ° The total investment amount is different from the cheque/ DD amount
  - iv. EXCEPTIONS: Facility for Payment through single cheque is not available for investments in any scheme during its NFO period.

**Payment Through Multiple cheques**

- i. The cheque/ DD should be drawn in favour of "Name of the Scheme - A/c PAN" or "Name of the Scheme - A/c Investor Name"

Returned cheque(s) are liable not to be presented again for collection and the accompanying Application Form is liable to be rejected. The Trustee reserves the right to recover from an investor any loss caused to the schemes on account of dishonor of cheques/presentation of dishonored cheques issued by the investor for purchase of Units of the schemes

**5. Third Party Payment**

- a. The AMC / Fund shall not accept applications for subscriptions of units accompanied with Third Party Payments except in cases as enumerated in para 8B below.  
 "Third Party Payment" means payment made through an instrument issued from a bank account other than that of the first named applicant/ investor mentioned in the Application Form. In case of payment instruments issued from a joint bank account, the first named applicant/ investor must be one of the joint holders of the bank account from which the payment instrument is issued to consider the payment as a non- Third Party Payment.
- b. As referred to in para 8A above, following are the exceptional cases where third party payments will be accepted subject to submission of requisite documentation/ declarations.
  - (i) Payment by an Employer on behalf of employees under Systematic Investment Plans (SIP) or lump sum / one-time subscription, through Payroll deductions or deductions out of expense reimbursements.
  - (ii) Custodian on behalf of an FPI or a Client.
  - (iii) Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum/ one-time subscription.
  - (iv) Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription.
- c. For investments through third party payments, Investors must accompany the 'Third Party Payment Declaration Form' (available at any of our ISCs or on our website [www.mahindramanulife.com](http://www.mahindramanulife.com)) along with the Application Form for subscription of units.

- C. Specific Instructions For Redemption/Switch:** Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Redemptions/Switches will be processed only if amount/ units is clearly mentioned. In case the balance in the account of the Unit holder is not sufficient to cover the specified Number of units or the amount of redemption/switch, then the request shall not be processed. Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing unless specified in case of multiple banks registered in the folio.