TRANSACTION FORM

Please read the KIM, SID, SAI and any addendums issued for the respective schemes

Scheme: _

From Scheme (in case of switch): ___

Please read the Terms and Condition, KIM, SID, SAI and any addendums issued for the respective schemes.



Name & Broker Code/ ARN/RIA Code** Aç	Sub Broker/ gent ARN Code	1	nal Code for ent / Employee	EUIN*	ISC Date Time Stamp/ Reference No.
24603				E024583	
* By mentioning RIA /PMRN code, I/We authorize you to shar Incase the EUIN box has been left blank, please refer the point egistered distributor, based on the investor's assessment of vari	elated to EUIN in the Dec	claration & Signatures s	section overleaf. Upfront	. ,	
EXISTING UNIT HOLDER INFORMATION (The details in a	our records under the folio	number mentioned wil	ll apply for this applicatio	n.) [Please mention name as per	PAN]
Folio No.:				PAN	
Name of Unit Holder:		Name	e as per PAN		
2. ADDITIONAL PURCHASE					
Scheme Please Specify	0	() Growth (Default)		○ IDCW Payout ○ IDCW frequency*	
IDOM(0	Direct Plan		/ IDCW Reinvestment (Default)	
IDCW frequency is applicable to WhiteOak Capital Liquid Fund	and WhiteOak Capital Ult Non-Third Pa			Third Barty Baymant (Dica	as attach 'Third Party Doymant Declaration Form
ayment Type: Please (✓) Fore Banking A/c No.:	Non-mild Pa	inty Payment		Vc. Type Please (✓) ○NF	se attach 'Third Party Payment Declaration Form RE OCURRENT OSAVINGS ONRO
	Instrument No. / UTR N	lo.	Amount (Drawn on Bank / Branch, Date
Cheque RTGS / NEFT			,(Stawn on Bank / Branon, Bate
Use Existing One Time Debit Mandate (If already registered in the	Folio) (Please ✓ if applicable	le and provide the existing ba	ank details)		
Bank Name		Bank	A /c No.		
If the payment mode is OTM, then the debit instructions will be		• ,	• • • • • • • • • • • • • • • • • • • •		
2A. DEMAT ACCOUNT DETAILS – Mandatory for units in D	emat Mode - Please ens	·			per the Depository Details.
lational Securities Depository Limited (NSDL)			DP Name:	ervices (India) Limited (CDSL)	
DP ID I N Benef. A/C N			16 Digit A/C No.		
			ŭ .) D II
Enclosures: Please (✓)		Transactio	n cum Holding Stateme	nt C) Delivery Instruction Slip (DIS)
REDEMPTION - I WISH TO REDEEM UNITS / AMOUNT A Scheme Please Specify		Regular Plan	0.0	O IDCW Payout	IDCW Frequency*
		O Direct Plan	Growth	O IDCW Reinves	
IDCW frequency is applicable to WhiteOak Capital Liquid Fund	and WhiteOak Capital Ult	tra Short Duration Fund	l.		
Amount (in figures) (₹):		Or Units	s (in figures):		Or All Units
Amount (in words) (₹):		P4 46			
Direct Credit to other than Default Bank Account: I / We re- or this transaction, which is one of the multiple bank already re-		if the proceeds to my		(Bank Na	me)
4. SWITCH REQUEST - I WISH TO SWITCH UNITS / AMOU	NT AS UNDER:	-		_	
From Scheme Please Specify		Regular Plan Direct Plan	○ Growth	○ IDCW Payout○ IDCW Reinves	IDCW frequency*
Amount (in figures) (₹):			(in figures):	1 0 .5011 10.1100	Or All Units
Amount (in words) (₹):		Oi Oiills	(iii iigui ea).		Of All Utills
To Scheme		Regular Plan		○ IDCW Payout	☐ IDCW frequency*
		O Direct Plan	Growth (Default)	│ │ │ │ IDCW Reinves	
IDCW frequency is applicable to WhiteOak Capital Liquid Fund	 and WhiteOak Capital Ult	tra Short Duration Fund	` '	(Default)	
5. DECLARATION AND SIGNATURES					
We have read and understood the contents of the respective secived nor been induced by any rebate or gifts, directly or indinor the different competing schemes of various Mutual Funds from a divised me/us regarding the suitability or appropriateness of the IVWe hereby confirm that the EUIN box has been intentionally istributor or notwithstanding the advice of in-appropriateness, if	ectly in making this transa n amongst which the Scho he product / scheme / pla left blank by me/us as this	action. The ARN holder eme is being recomme n. s is an "execution-only"	has disclosed tome/us al nded to me/us. For inves transaction without any i	Il the commissions (in the form of stors investing in Direct Plan: I/W interaction or advice by the emplo	trail commission or any other mode), payable to hin e hereby agree that the AMC has not recommended byee/relationship manager/sales person of the above
Signature of 1st Applicant/Quardian/Authorized Signature (ID= A Month)	Ci	mature of 2nd Applica-11A	Luthorized Signator/Do A	Oat	o of 2rd Applicant/Authorized Scientian/DeA
Signature of 1st Applicant/Guardian/Authorised Signatory/PoA/Karta	Sig	gnature of 2nd Applicant/A			e of 3rd Applicant/Authorised Signatory/PoA
ACKNOWLEDGEMENT SLIP					
folio No.:		Additional Purchase	Redempti	ion Switch	Date: D D M M Y Y Y

_____Amount (₹):__

___or Units:_

_To Scheme:___

TERMS AND CONDITIONS

GENERAL INSTRUCTIONS

- This Transaction Form would help in making purchases, switches, redemptions, from existing investments. New applicants who wish to invest in WhiteOak Capital Mutual Fund should fill a separate prescribed Common Application Form.
- Please read the Scheme information Document and KIM of the respective schemes carefully before investing.
- Please strike off any sections that are not relevant / applicable. Please counter-sign in accordance with your mode of holding against any corrections that you make in the Transaction Form
- 4. Permanent Account Number (PAN): It is mandatory for all investors (including guardians, joint holders, NRIs and power of attorney holders) to provide their Income Tax Permanent Account Number (PAN) and also submit a photo copy of the PAN card at the time of purchase of Units except for investors who are exempted from PAN requirement. Please refer to KYC Form for exemption of PAN requirement. The attestation of the copy of PAN card may be done by a Notary Public or a Gazetted Officer or a Manager of a Bank or a financial adviser under his seal and should carry the name and designation of the person attesting it. In the absence of this, your application will be rejected.
- Please refer the 'Instructions' below. This should help in filling the necessary details correctly and completely.
- Completed Transaction Forms should be submitted to your nearest Investor Service Center of WhiteOak Capital Mutual Fund or CAMS.
- If the investor has more than one transaction to provide, he should use separate form for each transaction.
- 8. TRANSACTION CHARGES: In case, the additional purchase amount is ₹ 10,000 or above and distributor has opted to receive transaction charges, ₹ 100/- will be deducted from the Purchase amount and paid to the distributor. Units shall be allotted for the balance amount only.

Instructions for Name of Unit Holder/ Joint Holder / Minor/ Guardian/ Non-Individuals and Date of Birth:

- As per revised guidelines received from Income Tax Department (ITD), it is mandatory to mention name of all holders including unitholder/ joint holders/ minor/ Guardian/ Non-Individuals as per PAN in application form.
- It is mandatory to provide a clear self-attested copy of PAN for each holder.
- It is mandatory to mention Date of Birth/ Incorporation (DOB/I) as per PAN Card/ POI (Proof of Identity) for all holders including unitholders/ joint holders/ Minor/ Guardian/ Non-Individuals.
- If either of Name validation or date of birth validation fails or Aadhaar seeding status is Inoperative, the application / transaction will be treated as Not in Good Order (NIGO).
- There may be possibilities of PAN-Aadhaar linking would have happened but still name can be returned as Mismatch. In such a scenario, the application / transaction will be treated as NIGO

INSTRUCTIONS FOR FILLING THE TRANSACTION FORM DISTRIBUTOR INFORMATION

- a. In case, the investor is directly applying, then they should clearly mention "DIRECT" in the Name and Broker Code/ARN column.
- Any edition or cancellation of the Distributor Information should be countersigned by the investor else the same is liable to be rejected.
- c. Distributors are advised to ensure that they fill in the RIA/PMRN code, in case they are a Registered Investment Advisor or Portfolio Manager.
- d. Quoting of EUIN is mandatory in case of advisory transactions.
- e. Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP / STP and EUIN is not applicable for transactions such as Installments under SIP/SWP / STP / IDCW Reinvestments, Redemption, SWP Registration.
- f. Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders only (whether acting in the capacity of the main distributor or sub broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular.

Section 1 - EXISTING UNIT HOLDER INFORMATION

- · This section is mandatory.
- Please furnish Existing Folio Number, PAN and name of the First/Sole Unit holder.

Section 2 - ADDITIONAL PURCHASE REQUEST

Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan
name in the transaction form as "Scheme Name – Direct Plan" for e.g. "WhiteOak Capital Flexi
Cap Fund Direct Plan". Investors should also indicate "Direct" in the ARN column of the
transaction form. However, in case Distributor code is mentioned in the transaction form, but
"Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the
transaction will be processed under Direct Plan.

Please note, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

- For Additional purchase, cheque or demand draft should be payable locally at the city where
 the purchase application is deposited, and should be drawn on any bank that is a member of the
 local Clearing House. All cheques/ drafts accompanying the additional purchase request should
 be crossed "Account Payee Only", and drawn in favour of the Scheme Name in which the
 investment is being made.
- Please select the mode of payment and mention the instrument / UTR number. Investor's investing through OTM should select the mode of payment as OTM and mention the UMRN number. Please note, the debit instructions will be sent to investor's bank within 1 working day from the date of application.

Note:

- Third Party payment will not be accepted. In case of exceptions (as per AMFI Circular) to third
 party payment, please fill the 'Third Party Declaration Form'. In case of payment made by Bank
 draft, please attached Banker certificate as well.
- As per RBI guidelines, cheques with alterations in Payee name and the Amount will not be honored. Hence alterations in the cheques should be avoided.
- Non-Resident Indians (NRI) and Person of Indian Origin (PIOs) are requested to attach a
 Foreign Inward Remittance Certificate (FIRC) or an Account Debit Certificate from the bankers
 along with the additional purchase request form to enable the AMC to ascertain the repatriation
 status of the amount invested.
- In case the investor desires to invest in more than one scheme/ plan/ option, he should submit a separate payment instrument and a separate transaction form for each such investment
- Please mention the Folio Number and the Name of the First Unit holder behind the cheque / draft before they are handed over to any courier / messenger / distributor / ISC.
- If investor wish to hold the Units in Demat form, please provide the details of Demat account in Section 2 A

Section 3 - REDEMPTION REQUEST

- Please specify the scheme / option details and the amount / number of units you wish to redeem. If all units are to be redeemed, please tick against the box provided for the purpose.
- Where Units under a Scheme are held under both Regular and Direct Plans and the
 redemption request pertains to the Direct Plan, the same must clearly be mentioned on the
 request, failing which the request would be processed from the Regular Plan. However, where
 Units under the requested Option are held only under one Plan, the request would be processed
 under such Plan.
- If the redemption request specifies both amount and units for redemption, the redemption transaction would be processed on the basis of number of units.
- In case the number of units or amount is not specified in the redemption request, the request will be rejected.
- If the balance units in the folio at the time of redemption is not adequate to cover the amount of request, all units in the folio shall be redeemed.
- The Bank Mandate mentioned in the original application form will be considered as the default bank mandate and all additional bank mandates would be considered as optional bank mandates. To change the Default Bank Mandate under a folio, the investor needs to fill the Bank Accounts Registration Form and submit it to the nearest Investor Service Center.
- In case the investor wants the redemption proceeds to be credited to any one of the optional bank accounts from amongst the bank mandates registered under the folio, the investor needs to clearly indicate the same in the redemption application; in the absence of such indication, the redemption proceeds would be credited to the default bank account.
- In case request for redemption is received together with a change of bank account (using Transaction Form or Bank Accounts Registration Form) or before verification and validation of the new bank account, the redemption request would be processed to the registered default bank account. Unit holders may note that it is desirable to submit their requests for change in bank details at least ten working days prior to date of redemption/dividend payment, if any. Any redemption request placed along or during this period shall ordinarily be processed as per the earlier bank account registered in the records of the Registrars.
- WhiteOak Capital Mutual Fund will endeavor to remit the redemption proceeds through electronic mode, wherever sufficient bank account details of the unit holder are available.

Section 4- SWITCH REQUEST

- Please specify the From and To Scheme Scheme / Option for the switch transaction.
- Where Units under a Scheme are held under both Regular and Direct Plans and the switch
 request pertains to the Direct Plan, the same must clearly be mentioned on the request, failing
 which the request would be processed from the Regular Plan. However, where Units under the
 requested Option are held only under one Plan, the request would be processed under such
 Plan
- Please specify the amount / number of units to be switched. If all units are to be switched, then
 please tick against the box provided.
- If the balance in the Scheme Option after taking into account the switch is below the minimum switch size (either in amount or in units whichever is less), the entire balance would be switched to the target scheme.
- If the switch request specifies both amount and units for switch, the switch out transaction would be processed on the basis of number of units.

Section 5 – DECLARATION AND SIGNATURES

- All signatures should be in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate.
- Applications by minors should be signed by their guardians. In the case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.
- Applications by non individual investors must be signed by the authorised officials. A list of authorized officials, duly certified and attested, should also be attached to the transaction form.
- In case of application through constituted attorney, please ensure that the POA document is signed by the beneficial investor (POA donor) and the Constituted Attorney. The signature in the transaction Form , in such cases should clearly indicate that the signature is by the Constituted Attorney.